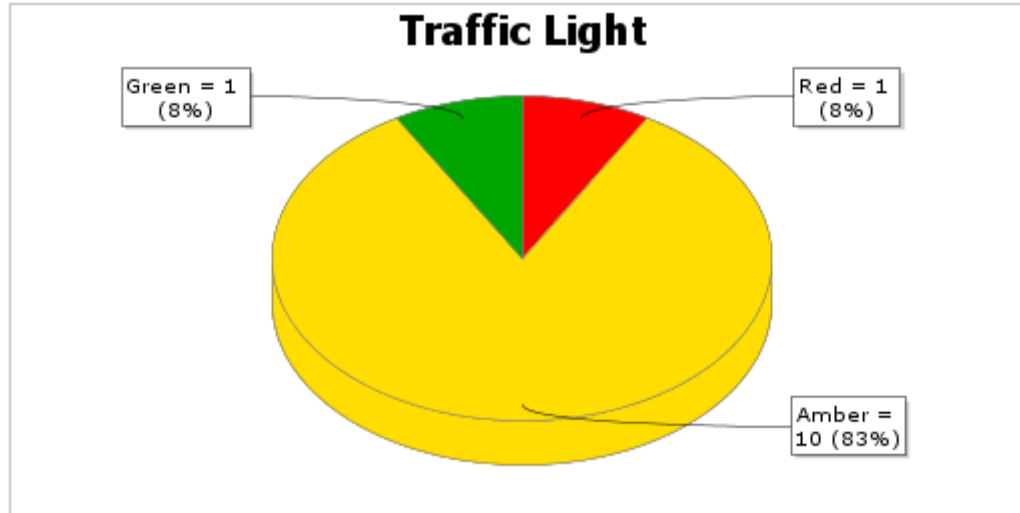
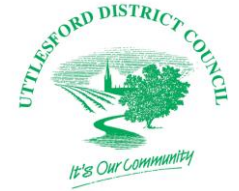


# Corporate & Strategic Risk Register 2013-14 - Quarter 3


**Report Type:** Risks Report




**Report Author:** Debra Admin\_Collins


**Generated on:** 03 February 2014








Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Latest Note	Mitigating Actions	Managed By
13-CR 01 Insufficient progress against savings	The council does not make sufficient progress against savings targets identified in the MTFS to achieve the necessary savings.	4	1	3	1	3	▲	4	1	Latest financial position reduces the impact of this risk	A Corporate Team was established in 2010. Savings achieved to date have been approx £1 million per annum	Adrian Webb
13-CR 02 External factors impact	External factors, such as the reforms to	3	3	2	3	6	▲	2	3	The Council's finances are sound and there is	Work with local government partners to	Stephen Joyce

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negatively on Council's finances	local government finance, negatively impact on Council's finances									reasonable certainty for the next tree financial years. The situation could change from 2017/18. This is addressed by the MTFs.	share the risks and benefits of reform	
13-CR 03 Key partners unable to support LSP	Key partners are unable to support to the LSP because of altered priorities elsewhere in the public sector. The concept of 'Localism' may be difficult to communicate	2	3	2	2	4		2	2	No change. Ensuring that the LSP is relevant to the day-to-day work of the Council is an emerging issue which will need attention during the coming year.	Optimise the Localism agenda and ensure that the Council retains its commitment to supporting the voluntary sector where this provides demonstrable value for money. Ensure continued engagement with partners and the community through channels such as Citizens Panel, Community Forums and Tenant Forum. Continue to review the working of the LSP to ensure it	John Mitchell






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											meets the needs of the council, its partners and the community.	
13-CR 04 Local Plan	Failure to meet objectively assessed housing need and identify sites acceptable to the community	3	3	3	2	6		3	2	Consultation on additional housing numbers and site allocations completed 13/01/14. Representations being analysed to identify if any issues have not been addressed	Adherence to the Local Plan timetable coupled with consistent communication and community engagement. Strong political leadership to ensure adoption of Local Development Framework. Commitment to build local authority housing	Roger Harborough
13-CR 05 Recycling	Ensure high quality of recyclable material is maintained	3	2	2	3	6		2	2	For contractual reasons, recycler has become more ready to challenge quality of materials	Strengthen communications about the importance of residents not putting food waste, unemptied cans and bottles in green lidded bin	Roger Harborough
13-CR 06 Potential increase in environmental crime	Potential for more cases of environmental crime leading to increased	3	2	3	2	6		2	2	Meeting taken place with enforcement officers from Epping Forest	Training for Enforcement officers and closer working with PCSO's	Michael Perry

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	pressures on enforcement and other service areas and possible complaints									DC to obtain their policy/best practice for dealing with environmental crime. Regular patrols are being carried out with Police and PCSOs. No change to risk impact and likelihood – reports of environmental crimes has only minor fluctuations.	leading to an increase in the number of fixed penalty notices issued	
13-CR 07 Failure to embed sound Equality & Diversity, H&S & Corporate Governance principles	Failure to embed sound equality & diversity, health & safety and corporate governance principles throughout the authority, which would make it difficult to then promote these ideals to the community	3	2	3	1	3		3	1	Training on safeguarding is being given to all staff, with training for managers on coping with stress will follow. An informal peer review of our Equalities status is taking place in February and March	Necessary information available to all staff and regular training given	John Mitchell

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13-CR 08 Little money available for Highways improvements	Little money available for Highways improvements due to pressures on County Council budget	3	3	2	3	6		2	3	2013/14 budget allocated but highway authority capacity to deliver emerging as new issue	Targeted improvements in district due to local member involvement in Highways Panel/Locality Board	Roger Harborough
13-CR 09 Inability to implement the economic strategy	Inability to implement the economic strategy which could lead to a failure to support existing businesses and attract new investment	3	2	3	1	3		3	1	Action plan delivery on target	Implement the economic strategy in conjunction with local business representatives, West Essex partners and allocate budget to support this work	Roger Harborough
13-CR 10 Adverse impact from reform of council tax benefits	The reform of council tax benefits will adversely impact some people currently in receipt of benefits	3	2	3	2	6		2	2	The LCTS scheme has been amended for 2014/15 and will require non-vulnerable working age people on low incomes to pay more council tax. The Council has increased its funding for exceptional hardship support.	Resource and implement the Council's Local Council Tax Support Policy approved 2012	Stephen Joyce

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13-SR 01 Disruption of Council business	Disruption of council business caused by: loss of building, widespread staff absence, extreme weather conditions	4	2	4	2	8		4	2	Corporate BC plan has been revised, exercised and agreed by CMT. Staff are given information regarding forecast weather conditions to enable planned work management . Individual BC plans for each department is currently due for complete review this year 2014-15	Ensure that emergency plans are in place to provide frontline services. Maintain regular engagement in emergency planning activities, close liaison with county council and regular communication with residents.  Ensure relevant HR policies are in place and understood	Michael Perry
13-SR 02 Major emergency at the airport	Major emergency at the airport e.g. due to plane crash, terrorism etc.	2	1	2	1	2		2	1	Ongoing training for UDC staff exists on an annual basis. Review of Airport Operational plans and UDC's part within are revised annually. Multi-agency meetings are held on a regular basis which UDC EPO attends.	Ensure that emergency plans are in place and that there is regular liaison with airport operator and engagement in emergency planning activities	Michael Perry

Risk Status

	Alert
	High Risk
	Warning
	OK
	Unknown